

eNOS Gene Polymorphisms in Perinatal Hypoxic-Ischemic Encephalopathy

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Received : December 11, 2008
Accepted : March 20, 2009

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*This work was supported by the Korea Research
Foundation Grant funded by the Korean Government
(MOEHRD, Basic Research Promotion Fund) (KRF-
2006-331-E00163).

Background : In perinatal hypoxic-ischemic encephalopathy (HIE), cerebral blood flow is impaired and the activity of nitric oxide synthase (NOS) is markedly increased. For the association with the development of a stroke, the endothelial NOS (eNOS) polymorphisms are well-known. **Methods :** Three clinically relevant polymorphisms of the eNOS gene were determined in 37 term/near-term infants with perinatal HIE (HIE group) and 54 normal term newborn infants without any perinatal problems (control group) using a polymerase chain reaction with or without restriction fragment enzyme digestion. The differences in the genotype, allele, and haplotype frequencies were evaluated between the groups. **Results :** The analysis of the allele frequencies showed that the G allele of Glu298Asp was more frequent in the HIE group than in the controls. The comparisons between the controls and each subgroups with complications that occurred with HIE showed that the TC genotype and C allele of T⁻⁷⁸⁶C were more common in patients with persistent pulmonary hypertension of the newborn (PPHN) than in the controls. The frequency of the A b T haplotype was lower in the HIE patients than in the controls. **Conclusions :** The G allele of Glu298Asp was associated with perinatal HIE, while the TC genotype and C allele of T⁻⁷⁸⁶C were associated with PPHN.

Key Words : Nitric oxide; Endothelial NOS (eNOS); Genetic polymorphism; Hypoxic-ischemic encephalopathy; Newborn; Infant; Persistent pulmonary hypertension of the newborn (PPHN)

Nitric oxide (NO) is produced from the oxidation of L-arginine to L-citrulline by NO synthase (NOS).¹ Endothelial cells are able to produce NO via endothelial NOS (eNOS), which has an important physiologic role in regulating vascular tone.² Moreover, NO modulates smooth muscle cell proliferation and attenuates leukocyte adhesion to the endothelium, as well as inhibition of platelet aggregation.³

NO plays an important role in the pathogenesis of many human cardiovascular diseases.^{4,5} The reduced production and activity of basal NO may predispose to hypertension, thrombosis, vasospasm, and atherosclerosis in humans.^{6,7} The amount of NO production in the vessels may closely correlate with the extent of eNOS expression, as controlled by the eNOS gene.⁸ Thus, investigation of the variability of eNOS polymorphisms may elucidate the genetic association with many human vascular diseases, such as ischemic heart disease and cerebrovascular diseases.⁹⁻¹¹

The polymorphisms of the eNOS gene have been previously investigated in both people of advanced age and among various ethnic groups in association with stroke.¹²⁻¹⁶ Although some of these studies reported conflicting results, the association of eNOS polymorphisms was consistently correlated with stroke.^{15,16} The eNOS gene is located on chromosome 7q35-36 and is comprised of 26 exons spanning 21 kb.¹⁷ Among the several polymorphisms of the eNOS gene, three types have been investigated to detect a link with cerebrovascular disease: the Glu298Asp polymorphism in exon 7, the variable number of tandem repeats [VNTR, 27 bp repeat] polymorphism in intron 4, and the T⁻⁷⁸⁶C polymorphism in the 5'-flanking region of eNOS.^{12-14,17}

Perinatal hypoxic-ischemic encephalopathy (HIE), which is most commonly recognized in newborn infants during delivery, is a significant cause of severe, long-term neurologic deficits. Impaired cerebral blood flow during perinatal HIE has been demon-

strated and associated with the activity of NO.¹⁸ Increased NO production by the endothelium leads to cerebral vasodilation; this results in an increase in cerebral blood flow, which may be protective.¹⁹ However, during episodes of ischemia, increased cerebral blood flow caused by the vasodilatory effect of NO may cause reperfusion injury.²⁰ In addition, during hypoxia-ischemia episodes, excessive production of NO will react with superoxide and then generate a potent radical, peroxynitrite, which activates lipid peroxidation and induces neuronal injury.²⁰ For patients with perinatal HIE, the variability of the eNOS polymorphisms may affect both eNOS activity and NO production in the brain of newborn infants, which may result in different clinical results manifested by the severity of the perinatal HIE.

However, there are no prior reports on eNOS polymorphisms and perinatal HIE. Therefore, the present investigation evaluated the clinically relevant polymorphisms of the eNOS gene in perinatal HIE by using a PCR with or without restriction enzyme digestion. In addition, we also assessed the association of complications of perinatal HIE with eNOS polymorphisms.

MATERIALS AND METHODS

Experimental subjects

This study included 37 term or near-term newborn infants with moderate-to-severe perinatal HIE (HIE group) and 54 normal full-term newborn infants without any perinatal problems (control group) who were admitted to the neonatal intensive care unit (NICU) or nursery of Dankook University Hospital between 2002 and 2004. All infants with perinatal HIE fulfilled the diagnostic criteria for perinatal asphyxia²¹ and manifested an acute encephalopathy associated with perinatal asphyxia. The clinical course of HIE was moderate-to-severe for all affected infants according to the Sarnat classification.²² The gestational age of the infants with HIE was >36 weeks and the Apgar scores at 5 min were <7, and the blood gases were acidic (pH<7.2), hypoxemic, and/or hypercapnic. Infants with a major congenital anomaly, intrauterine chronic infection, proven sepsis, multiple births, and postmaturity were not included. All infants were ethnically homogenous Koreans who were unrelated. Informed consent was obtained from the parents of all infants. The study was approved by the Institutional Review Board of Dankook University Hospital.

DNA extraction and genotyping

Peripheral blood samples were drawn and the blood added to an EDTA tube; genomic DNA was extracted from the blood leukocytes. The three clinically relevant polymorphisms of the eNOS gene were determined in all infants as previously described.⁹ Genotyping for Glu298Asp in exon 7 was determined by PCR amplification using a set of forward and reverse primers (5'-AAG GCA GGA GAC AGT GGA TGG A-3' and 5'-CCC AGT CAA TCC CTT TGG TGC TCA-3', respectively). The amplified 258-bp fragment was digested with the restriction enzyme, *Ban*II, resulting in the fragment either being digested into 2 fragments, a 163 bp and a 85 bp fragment (wild-type allele "G"), or not being digested (variant allele "A"). These fragments were analyzed by 12% acrylamide gel electrophoresis, and visualized by silver staining. For detection of the T⁻⁷⁸⁶C polymorphism in the 5'-flanking region of eNOS the forward and reverse primers, 5'-TGG AGA GTG CTG GTG TAC CCC A-3' and 5'-GCC TCC ACC CCC ACC CTG TC-3', were respectively used in the PCR. The amplified products were digested with *Msp*I, producing fragments of 140 bp and 40 bp for the wild-type allele (allele "T"), or 90, 50, and 40 bp in the case of a variant (allele "C"). The fragments were separated by 12% acrylamide gel electrophoresis, and visualized by silver staining. Detection of the VNTR polymorphism in intron 4 was performed by PCR using the forward and reverse primers, 5'-AGG CCC TAT GGT AGT GCC TTT-3' and 5'-TCT CTT AGT GCT GTG GTC AC-3', respectively. The PCR products were separated by 2.5% agarose gel electrophoresis and visualized by ethidium bromide staining. The 420 bp wild type product contained five 27 bp repeats (the "b" allele), and the 393 bp variant contained four 27 bp repeats (the "a" allele). Genotyping for three polymorphisms of the eNOS gene is shown in Fig. 1. The genotype 4aa of VNTR and CC of T⁻⁷⁸⁶C were not detected in any of the infants included in this study.

Statistical analysis

The data were analyzed using the SPSS statistical package program, version 14.0 for Windows (SPSS Inc, Chicago, IL, USA). The observed frequencies of the genotypes were compared with the frequencies expected under Hardy-Weinberg equilibrium by the χ^2 test. The differences in the genotype, allele, and haplotype frequencies between groups were evaluated by the χ^2 test, Student's *t*-test, or Fisher's exact test, as indicated. Values of $p < 0.05$ were considered significant, and these were corrected in

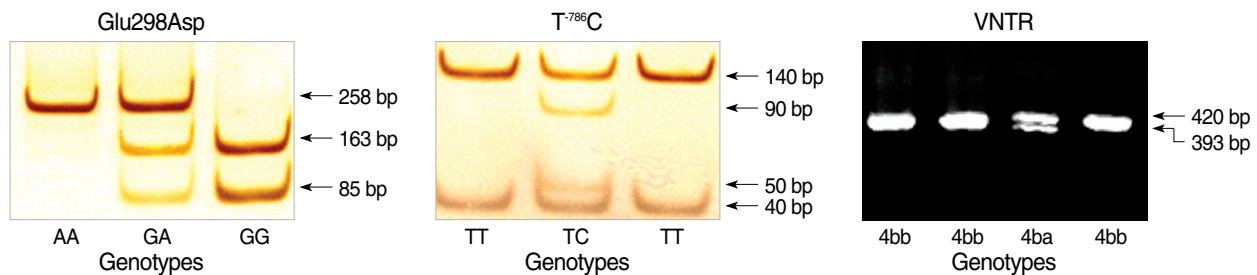


Fig. 1. Genotyping for the Glu298Asp polymorphism in exon 7, for the T⁻⁷⁸⁶C polymorphism in the 5'-flanking region and VNTR polymorphism in intron 4 of eNOS. The PCR products were digested with restriction enzymes producing different fragments leading to specific genotypes. The genotype 4aa of VNTR and CC of T⁻⁷⁸⁶C were not detected in the infants studied.

Table 1. Clinical characteristics of infants included in this study

	Perinatal HIE (n=37)	Control (n=54)
Birth weight (g)	2,972.8±617.0	3,143.9±432.6
Gestational age (weeks)	38.0±2.8	38.3±1.5
Apgar score at 1 min*	3.6±1.7	8.3±0.8
Apgar score at 5 min*	5.0±1.4	9.5±0.6
CS*	22 (59.5%)	15 (27.8%)
BOH*	21 (40.7%)	0 (0.0%)
Meconium staining*	9 (24.3%)	0 (0.0%)
Fetal distress*	7 (18.9%)	0 (0.0%)

*p<0.05, which are obtained from comparison HIE with control group. HIE, hypoxic ischemic encephalopathy; CS, cesarean section; BOH, born in another or outside hospital.

certain cases by multiplying the values by the number of alleles investigated (p_{corr}). The odds ratio (OR) and 95% confidence interval (CI) were also determined as indicated.

RESULTS

The clinical characteristics of infants included in this study are presented in Table 1. The mean birth weight and gestational age were not significantly different between the HIE and control groups. Both the 1 and 5 min Apgar scores were significantly lower in the HIE group than in the control group ($p<0.05$). The number of HIE infants born in another or outside hospital was 21 (56.8%), but there were none in the control group. Cesarean section was performed in 22 (59.5%) cases in the HIE group and in 15 (27.8%) in the control group ($p<0.05$). Meconium staining and fetal distress associated with birth asphyxia were only observed in the HIE group.

The genotype and allele frequencies of the three investigated polymorphisms of the eNOS gene are shown in Table 2. The genotype frequencies of the polymorphisms in each group were consistent with Hardy-Weinberg equilibrium. The distributions of genotypes in relationship to the three polymorphisms were not

Table 2. Genotype distribution and allele frequencies of eNOS gene in perinatal HIE and control groups

	Perinatal HIE with complication					
	Control					
	All (%)	All (%)	MAS (%)	RDS (%)	PPHN (%)	SZ (%)
	(n=54)	(n=37)	(n=10)	(n=8)	(n=4)	(n=10)
Genotype						
GG	45 (83.3)	36 (97.3)	9 (90.0)	8 (100.0)	4 (100.0)	10 (100.0)
GA	7 (13.0)	1 (2.7)	1 (10.0)	0 (0.0)	0 (0.0)	0 (0.0)
AA	2 (3.7)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)
4bb	46 (85.2)	28 (75.7)	8 (80.0)	7 (87.5)	2 (50.0)	8 (80.0)
4ba	8 (14.8)	9 (24.3)	2 (20.0)	1 (12.5)	2 (50.0)	2 (20.0)
4aa	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)
TT	48 (88.9)	30 (81.1)	8 (80.0)	5 (62.5)	1 (25.0)	10 (100.0)
TC	6 (11.1)	7 (18.9)	2 (20.0)	3 (37.5)	3 (75.0)*	0 (0.0)
CC	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)
Allele frequency						
G	89.8	98.6 [†]	95.0	100	100	95.0
A	10.2	1.4	5.0	0.0	0.0	5.0
b	92.6	87.8	90.0	93.8	75.0	90.0
a	7.4	12.2	10.0	6.3	25.0	10.0
T	94.4	90.5	90.0	81.3	62.5	100.0
C	5.6	9.5	10.0	18.7	37.5 [‡]	0.0

* $p=0.001$, OR=24.00 (95% CI; 2.14, 269.11); [†] $p_{corr}=0.036$, OR=8.28 (95% CI; 1.05, 65.57); [‡] $p_{corr}=0.002$, OR=10.20 (95% CI; 1.96, 53.18), which are obtained from comparison with control group.

n, number of subjects; HIE, hypoxic ischemic encephalopathy; OR, odds ratio; CI, confidence interval; MAS, meconium aspiration syndrome; RDS, respiratory distress syndrome; PPHN, persistent pulmonary hypertension syndrome; SZ, seizure.

significantly different between the controls and the HIE group. In the analysis of allele frequencies, the G allele of Glu298Asp was more frequent in the HIE group than in the control group ($p_{corr}=0.036$). The OR of the G allele for the HIE group was 8.28 (95% CI, 1.05-65.57). The HIE complication subgroup compared to the controls showed that the TC genotype and C allele of the T⁻⁷⁸⁶C were more frequently observed in the babies with persistent pulmonary hypertension of the newborn (PPHN) group than in the controls ($p=0.001$ and $p_{corr}=0.002$, respectively). The ORs of the TC genotype and C allele with regard

Table 3. Haplotype frequencies of eNOS gene in perinatal HIE and control groups

Haplotypes	Control (%)	Perinatal HIE (%)	p-value	OR (95% CI)
G b T	83.3	83.8	0.936	1.033 (0.47, 2.30)
G b C	5.6	1.0	0.315	1.78 (0.57, 5.52)
G a T	7.4	12.2	0.279	1.73 (0.64, 4.72)
G a C	5.6	5.4	0.965	0.97 (0.26, 3.57)
A b T	10.2	1.4	0.029	0.12 (0.015, 0.96)

HIE, hypoxic ischemic encephalopathy; OR, odds ratio; CI, confidence interval.

to PPHN were 24.00 (95% CI, 2.14-269.11) and 10.20 (95% CI, 1.96-53.18), respectively.

Among the 8 haplotypes of the eNOS polymorphism, the 5 with frequencies >5% are presented in Table 3. Comparisons of the haplotype frequencies were only conducted between the control and the HIE group. Frequency of the A b T haplotype was significantly lower in the HIE group than in the control group ($p=0.029$). The OR of the A b T haplotype with regard to HIE was 0.12 (95% CI, 0.02-0.96).

DISCUSSION

NO has a significant role in the pathogenesis of several neonatal diseases related to perinatal events, such as HIE, bronchopulmonary dysplasia, intraventricular hemorrhage, retinopathy of prematurity (ROP), and necrotizing enterocolitis. NO has been shown to modulate the degree of cerebral ischemia following stroke by regulating cerebral blood flow.²³

However, the correlation of eNOS with the clinical manifestations of perinatal and neonatal diseases remains to be elucidated. Recently, eNOS 27-bp repeat polymorphism has been suggested to have a functional association with the risk of severe ROP.²⁴ Thus, analysis of the eNOS gene may provide insight into the correlation of the important genetic polymorphisms associated with HIE and its complications. Several studies on the eNOS gene have shown a strong correlation with cerebrovascular disease and the associated clinical manifestations, disease course, and complications.^{15,16} However, previous studies showed little correlation between the Glu298Asp polymorphism in exon 7 and ischemic cerebrovascular disease.^{10,13} In addition, another group insisted that the 27 bp repeat VNTR polymorphism in intron 4 was not a risk factor for cerebrovascular disease in a Japanese study.¹⁴ Nevertheless, an association between brain infarction, especially lacunar infarction, and homozygosity for the G allele

of the Glu298Asp polymorphism in exon 7 was suggested.¹⁵ A study on lacunar infarction without leukoaraiosis also showed an association with the VNTR polymorphism located in intron 4.²⁵ Moreover, the T⁻⁷⁸⁶C polymorphism in the eNOS 5'-flanking region was considered a risk factor for an ischemic stroke.¹⁶ Consistent with these previous findings, the present study showed a possible association between the G allele of Glu298Asp in exon 7 and perinatal HIE, although the small sample size in this study limits the interpretation of this finding. The frequency of the G allele in cerebrovascular disease, including perinatal HIE, may be explained by the role of NO in the pathogenesis of HIE. Thus, just as for a brain infarction in the older population, the G allele might be a risk factor for perinatal HIE, and the clinical severity of perinatal HIE appears to be associated with eNOS genetic variations.¹⁵

Four infants, with PPHN as a complication of HIE, were investigated in this study. At birth, eNOS activity may play a pivotal role in maintaining vasodilatory pulmonary adaptation. However, the inhibition or decreased expression of eNOS may result in PPHN-like disease.²⁶ Thus, the failure of pulmonary vasodilatory adaptation at birth stimulates pulmonary artery constriction as a common perinatal event. The pulmonary hypertension resulting from pulmonary vasoconstriction, with a right-to left-shunt via the ductus arteriosus and/or foramen ovale, is the main pathognomic finding of PPHN.²⁷ Hence, as a clinical treatment for PPHN, NO inhalation is usually used to selectively dilate the pulmonary arteries.²⁷ A previous study on eNOS genetic variations demonstrated that the expression of the eNOS gene was decreased in PPHN. In addition, the mRNA of eNOS was not detected in the endothelial cells of infants with PPHN.²⁶ In our study, the TC genotype and C allele of T⁻⁷⁸⁶C appeared to be risk factors for PPHN occurring as a complication of HIE. However, there is a limitation to say in this study that the TC genotype and C allele of T⁻⁷⁸⁶C have functional correlations with decreased NO production, since we did not attempt to assess plasma nitrite and nitrate (NOx) levels and/or changes in activity of enzyme. A few studies²⁸⁻³⁰ showed the correlation of the genetic polymorphism in the eNOS gene with NOx levels. Recently, the study on the eNOS variants and 4-locus haplotypes associated with essential hypertension demonstrated the decrease of NOx in the patients than controls, which were statistically significant ($p<0.0001$).³⁰ They also suggested that the eNOS variants can be used as markers of increased susceptibility to the risk of essential hypertension.³⁰

This is the first study to show a relationship between the G allele of Glu298Asp in exon 7 of the eNOS gene and perinatal

HIE. In addition, the TC genotype and C allele of T⁻⁷⁸⁶C were observed to be associated with PPHN, a complication of HIE. Further investigation with a large number of HIE patients with PPHN are needed to confirm the genetic significance of eNOS polymorphisms and evaluate the genetic effects of eNOS on neonatal diseases.

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